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**NEW UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**DOCKET NO:**

NOVE100010000

**Total Pages in this Submission:**

33

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled:

**APPARATUS AND METHOD OF EFFECTIVE FLUID INJECTION AND VAPORIZATION FOR  
CHEMICAL VAPOR DEPOSITION APPLICATION**

and invented by:

Martin M. Barrera  
and  
Alex E. Spencer

**If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information:**

- Continuation
- Divisional
- Continuation-in Part (CIP)

of prior application serial no: \_\_\_\_\_ Filed: \_\_\_\_\_

**Enclosed are:**

**APPLICATION ELEMENTS**

1.  Filing fee as calculated and transmitted as described below.
2.  Specification having 19 total pages, including 4 pages of claims and 1 pages Abstract
3.  Drawing(s) including 5 sheets (when necessary as prescribed by 37 USC 113)
  - a.  Formal
  - b.  Informal
4.  Oath or Declaration.
  - a.  Newly executed (original or copy)  Unexecuted.
  - b.  Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional applications only)

[Note Box 5 Below] **DELETION OF INVENTORS**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).

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c.  With Power of Attorney

Without Power of Attorney

**APPLICATION ELEMENTS (Continued)**

5.  Incorporation By Reference (*This box shall be considered checked whenever Box 4b is checked*)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6.  Computer Program in Microfiche. (*Appendix*)

7.  Nucleotide and/or Amino Acid Sequence Submission. (*if applicable, all must be included*)  
a.  Paper Copy.  
b.  Computer Readable Copy. (*Identical to computer copy*)  
c.  Statement Verifying Identical Paper and Computer Readable Copy.

**Accompanying Application Parts**

8.  Assignment Papers.  
 The prior application is assigned of record to \_\_\_\_\_.  
 An assignment of the invention to \_\_\_\_\_ is attached. (cover sheet & documents(s))

9.  37 CFR 3.73(b) Statement. (*when there is an assignee*)

10.  English Translation Document. (*if applicable*)

11.  Information disclosure Statement/PTO-1449.  Copies of IDS Citations

12.  Preliminary Amendment.

13.  Acknowledgment postcard.

14.  Small Entity Statement  Statement filed in prior application, Status still proper and desired

15.  Certified Copy of Priority Document(s). (*if foreign priority is claimed*)

16.  Certificate of Mailing:  
 First Class  Express Mail (*Specify Label No*) EL406121780US

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**

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1.53(b))

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33

17.  Additional Enclosures. (please identify below):

**Priority**

18.  Priority - 35 USC 119

Priority of application serial no. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 USC 119.

The certified copy has been filed in prior U.S. application Serial No. \_\_\_\_\_ on \_\_\_\_\_.  
 The certified copy will follow.

19.  Priority - 35 USC 120

Amend the specification by inserting before the first line the following sentence:

"This is a

continuation

divisional

of copending application(s)

Serial Number \_\_\_\_\_ filed on \_\_\_\_\_."

International Application No. \_\_\_\_\_ and which designated the U.S."

**Inventorship Statement**

20.  Inventorship

With respect to the prior copending U.S. application from which this application claims benefit under 35 USC 120, the inventor(s) in this application is (are)

the same.

less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:

**NEW UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

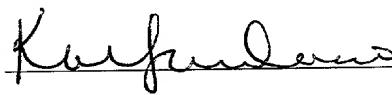
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33**Fee Calculation and Transmittal  
CLAIMS AS FILED**

FOR	NO. FILED	NO. ALLOWED	NO. EXTRA	RATE	FEE
Total Claims	23	— 20 =	3	x \$18.00	\$54.00
Indep. Claims	3	— 03 =	0	x \$78.00	\$
Multiple Dependent Claims (check if applicable)			<input type="checkbox"/>		\$
				<b>BASIC FILING FEE</b>	<b>\$690.00</b>
Other Fees (specify purpose)				<b>FILING FEE CALCULATION</b>	<b>\$744.00</b>
				<b>SMALL ENTITY x 50%</b> (when Box 14 is checked)	<b>\$</b>

A check in the amount of \$ 744.00 to cover the filing fee is enclosed.  
 The Commissioner is hereby authorized to charge and credit Deposit Account No. 04-0566  
as described below. A duplicate copy of this sheet is enclosed.  
 Charge the amount of \$ \_\_\_\_\_ as filing fee.  
 Charge or credit any under or overpayment.  
 Charge any additional filing fees required under 37 CFR 1.16 and 1.17.  
 Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance,  
pursuant to 37 CFR 1.311(b).

Dated: 29 September 2000Robert Curcio  
Reg. No. 44,638**DELIO & PETERSON, LLC**  
121 Whitney Avenue  
New Haven, CT 06510  
(203) 787-0595**Certification Under 37 CFR 1.10**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

Name: Kara LaudanoDate 9/29/00Signature: 

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## APPLICATION INFORMATION

Title Line One:: APPARATUS AND METHOD OF EFFECTIVE FLUID  
Title Line Two:: INJECTION AND VAPORIZATION FOR CHEMICAL  
Title Line Three:: VAPOR DEPOSITION APPLICATION  
Total Drawing Sheets:: 5  
Formal Drawings?:: No  
Application Type:: Utility  
Docket Number:: NOVE10001000  
Secrecy Order in Parent Appl.?:: No

## REPRESENTATIVE INFORMATION

Representative Customer Number:: 22891

Source:: PrintEFS Version 1.0.1

APPLICATION  
FOR  
UNITED STATES LETTERS PATENT

APPLICANT NAME: Martin M. Barrera & Alex E. Spencer

TITLE: APPARATUS AND METHOD OF EFFECTIVE FLUID INJECTION AND  
VAPORIZATION FOR CHEMICAL VAPOR DEPOSITION APPLICATION

DOCKET NO.: NOVE100010000

NOVELLUS SYSTEMS, INC.

CERTIFICATE OF MAILING UNDER 37 CFR 1.10

I hereby certify that, on the date shown below, this correspondence  
is being deposited with the United States Postal Service in an  
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on September 29, 2000

Kara Laudano  
Name of person mailing paper

Kara Laudano 9/29/00  
Signature Date

NOVE100010000

**APPARATUS AND METHOD OF EFFECTIVE FLUID INJECTION AND  
VAPORIZATION FOR CHEMICAL VAPOR DEPOSITION APPLICATION**

**Background Of The Invention**

**1. Field of the Invention**

5        This invention relates to chemical vapor deposition of thin films. Particularly, this invention relates to an apparatus and method for liquid injection and vaporization within a chemical vapor deposition process.

**2. Description of Related Art**

In a chemical vapor deposition (CVD) process, species in the vapor phase are  
10 injected over a substrate (or wafer) and react to form a deposit on the substrate. Often, this is done with plasma enhancement (PECVD). This technique provides for superior coverage of complex topographies on the substrate surface. The introduction of deposition reactants and other dopants has posed technical problems in the art that are governed, in part, by the physical properties these materials undergo within the  
15 chemical vapor deposition process. Some resolutions to these problems have incorporated direct injection of liquid solutions to introduce a deposition reactant into a CVD deposition chamber. Other answers have considered vaporizing pre-mixed solutions upon injection into the chamber. Typically, a solution is pre-mixed and produced outside the deposition chamber and transported to the chamber under  
20 temperature and pressure conditions appropriate for maintaining the solution in a liquid state until injection. Once injected, the solution then vaporizes for efficient mixing within the chamber and subsequent deposition. For the purposes of this disclosure, the term "solution" refers to any multi-component homogeneous single-phase liquid, regardless of the relative concentrations or states of aggregation,  
25 complexing, or bonding of the components in the liquid. The injected solution is applied within the chamber typically by an injection nozzle, where, under proper temperature and pressure conditions, the solution is allowed to enter the vapor phase over the substrate, react, and deposit a film.

In U.S. Patent No. 5,527,567 issued to Desu, et al., on 18 June 1996, entitled, "METALORGANIC CHEMICAL VAPOR DEPOSITION OF LAYERED STRUCTURE OXIDES," a chemical vapor deposition process is taught in which the vapors are introduced into the reactor either through a set of bubblers or through a 5 direct liquid injection system. A flash vaporization chamber (basically a pump with a liquid flow meter) is employed along with a needle valve inserted within the flow line to control the flow of liquid. A preheated carrier gas is used to transport the pre-mixed vaporized source from the vaporization chamber.

In U.S. Patent No. 5,968,594 issued to Hu et al., on 19 October 1999, entitled 10 "DIRECT LIQUID INJECTION OF LIQUID AMMONIA SOLUTIONS IN CHEMICAL VAPOR DEPOSITION," reactants are delivered by direct liquid injection. The reactant solution is produced outside the deposition chamber and transported to the chamber under temperature and pressure conditions appropriate for maintaining the solution in a liquid state until injection. The liquid solution serves as a 15 vehicle to transport reactant material to the deposition chamber.

The present methods in the art used to inject a carrier fluid (gas) such as 20 tetraethylorthosilicate (TEOS) along with precursors and dopants into the gas manifold leading to the reactor chamber is inefficient and does not fully vaporize the injected fluids, especially the TEOS. In addition, the heat supplied to the gas manifold necessary to heat the carrier fluid is optimized to heat a liquid which has been fully atomized. When using TEOS as a carrier fluid, if the fluids do not atomize 25 upon entering the gas manifold, the heat transfer of the fluids is then limited by the large droplets of TEOS on the manifold structure. The result is a mixing bowl which fills with TEOS liquid and inefficient deposition of silicon oxide on the process wafers with the potential risk of carrier gas material landing on the wafer. Although TEOS is described as an illustrative example, other fluids commonly used in the art will have similar constraints.

In addition to the inefficient atomization of the liquid(s) entering the process chamber, flow rate control presents another concern. The flow rates required for

TEOS are typically in the range of 0-6 ml/min. The flow rates for the dopants used in the TEOS processes are in the range of 0-1 ml/min. Presently, the methods used to introduce these low flow rates of dopants and TEOS into the chamber require pre-mixing of the liquids. Once these liquids are pre-mixed, they are passed through a 5 high pressure drop capillary tube which drops the pressure of the liquids to the low pressure levels required for vaporization and introduction within the process chamber. This high pressure drop capillary tube also prevents cavitation from occurring in the liquid supply lines. One difficulty with using capillary tubes, however, is the inherent 10 limitations on pressure drops. The pressure drop in a capillary tube is inversely proportional to its length, and proportional to the fourth power of the diameter of the tube ( $D^4/L$ ). As a result, depending upon the flow rate required, either the pressure drop across the capillary tube will be excessive or the capillary tube will need to be extremely long to meet the flow rate required for the specific process. Moreover, 15 capillary injector tubes are generally sophisticated in their configuration, and difficult to manufacture and service.

As a result of pre-mixing liquids prior to their introduction into the chamber, minor pressure fluctuations in any of the multiple liquid flow supplies will cause the dopant flow rate to fluctuate. Since the dopant flow levels are much lower than the TEOS flow rates, minor changes in the TEOS flow will cause large changes in the 20 dopant flow. This phenomena is sometimes referred to as "crosstalk." Additionally, high pressures are typically required to drive the liquids through the restrictive capillary tubes. As a result, a delay of tens of seconds is required in order for the dopant to stabilize. Furthermore, the explosive nature of certain fluids, such as TEOS, requires the introduction of these fluids under safe temperature and pressure 25 conditions.

Bearing in mind the problems and deficiencies of the prior art, it is therefore an object of the present invention to provide an apparatus and method to atomize and vaporize precursors and dopants in a chemical vapor deposition process more thoroughly and efficiently.

It is another object of the present invention to provide an apparatus and method which allows for the separate introduction of different liquids with widely different flow rates, including low flow rate dopant liquids, into a CVD process chamber at constant pressure.

5 A further object of the invention is to provide an apparatus and method for effective atomization and vaporization of any liquid precursor prior to its introduction into a reactive chamber.

10 It is yet another object of the present invention to provide an apparatus and method to introduce liquids into a chemical vapor deposition chamber in a constant pressure environment without pre-mixing the liquids.

15 Another object of the present invention is to provide an apparatus and method which prevents TEOS or other liquids which are explosive in the presence of oxygen at high pressure and temperature from reaching their auto-ignition point.

Still other objects and advantages of the invention will in part be obvious and  
15 will in part be apparent from the specification.

### Summary of the Invention

The above and other objects and advantages, which will be apparent to one of skill in the art, are achieved in the present invention which is directed to, in a first aspect, an apparatus for delivering a plurality of fluids to a chemical vapor deposition chamber, having a cavity comprising: an inlet nozzle having a first diameter to receive one of the plurality of fluids as a carrier fluid, and configured to maintain a first pressure and a first temperature; a throat region having a first and second end, connecting to the inlet nozzle at the first end, having a second diameter less than the first diameter, and configured to maintain a second pressure and second temperature; the throat region having at least one aperture adjacent to the first and second ends to allow for the introduction of at least one of the plurality of fluids to the carrier fluid; and, an exit nozzle, connect to the throat region at the second end, having a third

diameter greater than the second diameter, and configured to maintain a third pressure and third temperature. The inlet nozzle is configured to have the first diameter taper down to the throat region second diameter at an angle in the range of forty to sixty degrees. The throat region is configured to operate at a critical Mach number of 1.0.

5 The second pressure and the second temperature are selected to present a condition for atomization of the fluids. Some of the plurality of fluids are intended as precursors, and others of the plurality of fluids are dopants.

The throat region further comprises two or more apertures adjacent to the first and second ends to allow for the introduction of two or more of the plurality of fluids to 10 the carrier fluid, each of the two or more of the plurality of fluids introduced separately through individual apertures. The plurality of fluids are introduced separately and simultaneously without pre-mixing.

In a second aspect, the present invention is directed to an apparatus for delivering a plurality of fluids to a chemical vapor deposition chamber, having a cavity 15 comprising: an inlet nozzle having a first diameter to receive one of the plurality of fluids as a carrier fluid, and configured to maintain a first pressure and a first temperature; a throat region having a first and second end, connecting to the inlet nozzle at the first end, having a second diameter less than the first diameter, and configured to maintain a second pressure and second temperature; the throat region having at least one aperture adjacent to the first and second ends to allow for the 20 introduction of at least one of the plurality of fluids to the carrier fluid; and, an exit nozzle, connect to the throat region at the second end, having the second diameter, and configured to maintain the second pressure and the second temperature, such that the exit nozzle is an extension of the throat region having the same dimensions as the 25 throat region.

In a third aspect, the present invention is directed to a method for introducing a plurality of fluids into a chemical vapor deposition process chamber comprising: injecting at least one of the plurality of fluids as a carrier fluid in line at a constant flow rate and under conditions of a first pressure and first temperature; injecting

others of the plurality of fluids separately, simultaneously, and without pre-mixing, as precursors and dopants in line with the carrier fluid and under conditions of a second pressure and a second temperature; and, exiting the fluids to the chamber such that the fluids undergo atomization and vaporization.

5

#### Brief Description of the Drawings

The features of the invention believed to be novel and the elements characteristic of the invention are set forth with particularity in the appended claims. The figures are for illustration purposes only and are not drawn to scale. The 10 invention itself, however, both as to organization and method of operation, may best be understood by reference to the detailed description which follows taken in conjunction with the accompanying drawings in which:

Fig. 1 is a prior art schematic of a plasma enhanced chemical vapor deposition system.

15 Fig. 2 is a schematic of the nozzle design for the cross-flow injector of the present invention.

Fig. 3A is a schematic of the cross-flow injector nozzle with detailed dimensions for the inlet, throat, and exit portions.

20 Fig. 3B is an expanded view of the dimensions of the exit nozzle of Fig. 3A.

Fig. 4 is a schematic of the cross-flow injector detailing the tubing necessary for fluid transport.

Fig. 5 is a mechanical assembly schematic of the cross-flow injector.

25 Fig. 6 is a diagram of an alternate embodiment of the cross-flow injector with the expansion nozzle on the exit end reduced to the diameter of the throat region.

25

#### Description of the Preferred Embodiment(s)

In describing the preferred embodiment of the present invention, reference will be made herein to Figs. 1-6 of the drawings in which like numerals refer to like

features of the invention. Features of the invention are not necessarily shown to scale in the drawings.

Fig. 1 is a schematic representation of a prior art remote plasma enhanced CVD chamber 10. Typically, these remote PECVD chambers provide for RF power 5 12 to be supplied by a tube 14, to inductively excite a gas delivered at the top of reactor 10. The gas excitation occurs between the heated pedestal 24 and the showerhead 26. The gas disperses within chamber 20 via showerhead 26 and reacts with substrate 22 which is supported on a heated pedestal 24. The pedestal 24 is typically heated at a constant temperature, preferably 350°C, using embedded heater 10 elements (not shown).

A capillary injector 28 is used to inject TEOS 29 or doped TEOS 31 into a heated injector manifold (HIM) 30. The TEOS is vaporized in the HIM 30 after being mixed with oxygen 32 and/or nitrogen 33. A heater blanket 34 is typically used to insulate the HIM 30. The deposition of silicon oxide is sometimes carried out by 15 using TEOS because of its improved handling qualities as compared to silane. TEOS is a liquid at room temperature and standard pressure. Therefore, it is normally atomized in an oxygen stream before entering the process chamber.

The combined fluids are then transported into chamber 35 for mixing. Once mixed, the gas mixture enters showerhead 26 through a ceramic tube 25. Plasma is generated at the showerhead 26, and the TEOS vapor along with the oxygen and/or 20 nitrogen result in the formation of silicon oxide on the surface of wafer 22.

The injector 28 comprises capillary tubing which, by current state of the art techniques, is difficult to manufacture. Further, if different fluid flows are required, the injector will require significant redesign. Commonly, the lower flow dopants are 25 at the extreme range of capillary manufacturing limitations, since the low flow dopants require a small inner diameter for the capillary tubes. In the instant invention, capillary tubing is needed to supply liquid to the throat of the injector 28; however, because of the constant pressure design of the nozzle, the capillary tubing does not need to be as restrictive in its inner diameter dimensions as those of the prior art.

Atomization is typically performed by passing a fluid through a small orifice. The pressure required to achieve atomization is high. This process is improved by using a gas to break up the fluid prior to passing through the orifice. In addition, an ultrasonic enhancer or resonator may be introduced into the system, for example, a 5 knotted hammer may be implemented within the fluid flow path such that the fluid causes the hammer to vibrate which then helps to break the fluid into smaller droplets.

As previously discussed, minor pressure fluctuations in any of the multiple liquid flow supplies will cause the dopant flow rate to fluctuate. Having the dopant flow levels much lower than the TEOS flow rates results in minor changes in the 10 TEOS flow causing large changes in the dopant flow. Adding to this complexity is the high pressure required to drive the dopant through the capillary tubes for vaporization.

A cross-flow injector has been designed to solve these issues. Fig. 2 is a schematic of the nozzle design 40 for the cross-flow injector of the present invention. 15 It consists of an inlet nozzle 50 having a diameter  $D_1$ , and configured to maintain a first pressure,  $P_1$ , and first temperature  $T_1$ . This inlet nozzle 50 allows for the introduction of a carrier fluid into the system. The carrier fluid is preferably a process compatible gas, such as  $O_2$ ,  $N_2$ , or  $He$ , but may be other gases known in the art and commonly used in CVD processes. The inlet nozzle 50 is tapered down to a narrower 20 region referred to herein as throat 44 having a second diameter  $D_2$ , where the fluid undergoes a second pressure,  $P_2$ , and temperature,  $T_2$ . The throat 44 operates at the critical value of mach number equal to 1.0. The injector thus allows fluid mixtures to expand into the low pressure environment of the gas manifolding isentropically. The throat 44 allows for the preceding pressure,  $P_1$ , to be much higher than the exit 25 pressure of the nozzle,  $P_3$ . At the critical point of the nozzle, throat 44, the fluids requiring atomization, which are typically introduced in liquid form, are injected. As depicted, this may include introducing more than one liquid to the throat area 44, for example, liquid 1 and liquid 2, shown introduced through inlet ports 46 and 48, respectively. These liquids can take advantage of the fact that the critical point is at a

pressure,  $P_2$ , lower than the pressure at inlet 50,  $P_1$ , but at higher than the pressure at exit 42,  $P_3$ . This allows for the fluids to be injected into the throat 44 at an elevated and constant pressure above vacuum conditions, and below the maximum gas (fluid) pressure,  $P_1$ , upstream of the throat at gas inlet 50. The throat pressure,  $P_2$ , will 5 remain constant as long as the gas flow is kept constant. Several injection points may be introduced, such as the two shown for liquid 1 and 2, inlets 46 and 48, respectively, so that more than one liquid may be injected simultaneously, thus allowing for the doping of films while not requiring a pre-mixing of these liquids. The liquids are introduced at a constant pressure above vacuum,  $P_2$ , and at the point of 10 introduction are atomized by the fluid flow of the carrier fluid through the inlet nozzle 50. Mixing occurs in the throat and at the exit nozzle 42. At this point, a mixture of atomized liquid and gas is ready to undergo optional heat application.

Exit nozzle 42 is an expansion nozzle that allows for a substantial decrease in exit pressure,  $P_3$ , as compared to the gas inlet pressure,  $P_1$ . The expansion requires 15 that the diameter of the exit portion of the nozzle,  $D_3$ , be significantly greater than the diameter of the throat,  $D_2$ . A detailed schematic of the dimensions of the cross-flow injector is depicted in Figs. 3A and 3B. Fig. 3A shows the cross-flow injector nozzle 40 with detailed dimensions for the inlet 50, throat 44, and exit 42 portions. Importantly, the exit and inlet nozzles are depicted having expansive tapered edges, 20 54 and 56, respectively. Inlet edge 56 is designed at an angle  $a$  in the range of  $40^\circ$  to  $60^\circ$  with respect to the horizontal. Preferably, the inlet edge 56 is approximately  $45^\circ$ . Exit edge 54 is detailed in Fig. 3B, and designed to be at an angle  $b$  in the range of  $20^\circ$  to  $40^\circ$  with respect to the horizontal, and preferably approximately  $30^\circ$ . For the preferred embodiment, the inlet nozzle 50 is designed to have a diameter of 25 approximately 0.180 in, tapering down at  $45^\circ$  to throat 44 having a diameter of approximately 0.021 in. As further depicted in Fig. 3A, the exit portion 42 expands at an angle  $b$  of  $30^\circ$  from the small diameter of the throat 44 to a diameter of approximately 0.040 in. Although specific dimensions are delineated as a preferred embodiment, other proportions may be employed by those skilled in the art to achieve

the desired results of mixing and atomizing injected liquids in the cross-flow injector throat.

Fig. 4 is a schematic of a preferred embodiment of the cross-flow injector with the associated tubing necessary for fluid transport. An attachment 100 is situated on the exit nozzle 42 of the cross-flow injector 40. This is typically an SS tubing attachment having a swagelok end cut off. Tubing for liquid injection 102 is preferably on the order of 0.062 in for an outer diameter and 0.010 in for an inner diameter. The length of this injection tubing 102 varies, and is ultimately sized according to the pressure drop requirement. For these dimensions, a working test of the injection tubing 102 may entail measuring the flow rate of direct injected water to be  $3.201 \pm 0.12$  ml/min, with a differential pressure of 10 psia at a temperature of 70 °F. Given these measurement parameters, the tubing is then varied in length to achieve the desired flow specification. After the water injection test, the injector tube is then flushed with IPA and blown completely dry with nitrogen. Tubing 104 is attached to the inlet end 50 of the injector. Preferably, this tubing is 0.25 in diameter SS tubing. A 0.25 in male mating nut 106 is attached to the end of tubing 104. This mating nut may be of the type SS-4-VCR-4 or the like. A gland 108 is configured at the end of the mating nut 106. The gland may be of the type 0.25 VCR x 1.32 LG, or the like. Similarly, a mating nut 110 and gland 112 are used to terminate each injector tube 102. The mating nut 110 is preferably a 0.125 in VCR (part no. SS-2-VCR-4), while gland 112 is preferably a socket weld, 0.125 in x 0.0625 VCR (part no. SS-1-VCR-3). Although SST (CAJON) materials are depicted in the preferred embodiment, it is apparent to one of skill in the art that similar material may be used in place of the above that will still achieve the same objectives and results. Fig. 5 is a mechanical assembly schematic of the cross-flow injector 40 with three injector tubes 102 attached to the injector 40 where the throat (not shown) is located within.

Fig. 6 is a diagram of an alternate embodiment of the cross-flow injector with the expansion nozzle on the exit end 42 eliminated. Exit end 51 depicts the alternate design, having substantially the same diameter as that of the throat 44,  $D_2$ .

The governing equations for fluid (gas) flow through the cross-flow injector are the sonic flow equations. This is due to the low pressure found at each exit of the nozzle. Because of this low exit pressure, the conditions at the throat 44 will be at or near the sonic point for all operating conditions. The gas conditions at the inlet are 5 considered to be stagnant since the throat provides enough constriction. The mass flow rate may be represented as follows:

$$\frac{dm}{dt} = [A_t P_1 / (R T_1)^{1/2}] [k \{2/(k+1)\}^{(k+1)/(k-1)}]^{1/2} \quad (1)$$

where,

10  $A_t$  is the throat area;

$dm/dt$  is the mass flow rate;

$R$  is the gas constant for the specific gas;

$T_1$  is the stagnant gas temperature; and,

$k$  is the ratio of specific heats.

15 This equation is used to determine the throat diameter when the gas flow, pressure, and temperature are known parameters.

The initial pressure at the inlet,  $P_1$ , is related to the pressure at the throat,  $P_2$ , as follows:

$$\frac{P_1}{P_2} = [1 + (1/2)(k-1)M_a^2]^{k/(k-1)} \quad (2)$$

20 where,

$M_a$  is the Mach number;

$P_1$  is the stagnant pressure; and,

$P_2$  is the throat pressure.

This equation is generally used to determine the gas velocity and gas properties 25 within the expansion nozzle.

For a Mach number equal to 1, equation (2) may be simplified to the following:

$$\frac{P_2}{P_1} = [2/(k+1)]^{k/(k-1)} \quad (3)$$

Similarly, a ratio of the temperature at the throat to the temperature at the inlet may be expressed as the following:

$$T_2/T_1 = 2/(k+1) \quad (4)$$

where,

5  $T_1$  is the stagnant temperature; and,

$T_2$  is the throat temperature.

Equations (3) and (4) are used to express the conditions at the throat. A relationship between pressure drop and flow rate within a capillary tube can be expressed as:

$$10 \quad Q = (\Delta P \pi D^4) / (128 \mu_{\text{fluid}} L) \quad (5)$$

where,

$\Delta P$  is the change in pressure;

$D$  is the capillary diameter;

$\mu_{\text{fluid}}$  is the liquid viscosity; and,

15  $L$  is the length of the capillary tubing.

In order for atomization to occur, the following condition must be met:

$$We < < r_{\text{gas}}/r_{\text{liquid}} \quad (6)$$

where,

$r_{\text{gas}}$  is the density of the gas;

20  $r_{\text{liquid}}$  is the density of the liquid; and,

$We$  is the Webber number.

Importantly, in the present invention the capillary tubing is used only to supply liquids for the instant invention. The velocity at the throat required for atomization can be obtained from the Webber number and the surface tension of the liquid as 25 follows:

$$W = s / (r_{\text{liquid}} V_{\text{throat}}^2 r_0) \quad (7)$$

where,

$s$  is the surface tension of the liquid;

$V_{\text{throat}}$  is the velocity at the throat; and,

$r_o$  is the radius of the liquid flow.

In addition to the cross-flow injector, a heated manifold may be attached to facilitate and effectively atomize and vaporize any fluid (liquid) precursor prior to its introduction into the reactor chamber. Moreover, this invention allows for the introduction of various liquids with different flow rates into a constant pressure environment. For each individual application, however, safe operating regimes should be carefully examined, such as auto-ignition limits, viscosity requirements, vapor pressures, and the like. This invention is predominately used in a TEOS application, but may be valid for any liquid precursor and dopant liquids being injected into a process chamber.

### Application Example

Using the above equations and assuming a gas flow of 10,000 sccm, the following results are obtained:

**TABLE 1: VARIOUS PARAMETERS AND THEIR VALUES FOR CRITICAL GAS FLOW**

	Stagnant Conditions $P_1, T_1, D_1$	Throat Conditions N <sub>2</sub> $P_2, T_2, D_2$	Throat Conditions He <sub>2</sub> $P_2, T_2, D_2$
GAS FLOW	10,000 sccm		
PRESSURE	60 psia	31.7 psia	29.2 psia
TEMPERATURE	343 °K	285.8 °K	257 °K
THROAT DIAMETER		0.039 in	0.023 in
LIQUID TUBE ID	0.010 in		
TEOS SURFACE TENSION	20.75 E-3 kg/s <sup>2</sup>		
TEOS DENSITY	936 kg/m <sup>3</sup>		

15

The cross-flow injector operating under the conditions set forth in Table 1 above for helium would allow the introduction of TEOS and other dopant fluids at a relatively high pressure (29.2 psia), and would not require the use of capillary tubes

to create a pressure differential. The choked, narrowed throat provides this needed pressure differential. Helium is used mainly to offset the auto-ignition concerns with TEOS. Other gases, including oxygen, could be used when explosive concerns are not present. Equation (6) is satisfied for the use of Helium since the Webber number, 5 1.963 E-7, is much less than the ratio of pressures,  $P_2/P_1$ , equal to 4.026 E-4. Thus, the TEOS will be fully atomized using the cross-flow injector.

Using the cross-flow injector requires insertion of the apparatus in-line with the liquid supply lines and the inlet port of the process chamber. Fluids are then applied separately or simultaneously to the cross-flow injector as gas is transferred to 10 the inlet nozzle 50 of the injector 40. Measuring and monitoring equipment, commonly available to those of skill in the art, are used to regulate the flow of liquids and gas to the injector, and also to control the temperatures and pressures associated at the inlet and throat locations.

Importantly, more than one fluid may be introduced simultaneously through the 15 cross-flow injector without pre-mixing the fluids. They can also be introduced into a constant pressure throat area which is defined by the gas flow rate and dimensions of the throat 44. Since the fluids are introduced at the throat, and the throat condition is choked flow, the throat allows for the fluid pressure to be higher than the pressure in the process chamber. This provides for the fluid to be introduced into the low 20 pressure process chamber and become efficiently atomized, ready for heating, without concern of cavitation in the fluid supply lines.

While the present invention has been particularly described, in conjunction with a specific preferred embodiment, it is evident that many alternatives, modifications and variations will be apparent to those skilled in the art in light of the 25 foregoing description. It is therefore contemplated that the appended claims will embrace any such alternatives, modifications and variations as falling within the true scope and spirit of the present invention.

Thus, having described the invention, what is claimed is:

Claims

- 1 1. An apparatus for delivering a plurality of fluids to a chemical vapor deposition
- 2 chamber, having a cavity comprising:
  - 3 an inlet nozzle having a first diameter to receive one of said plurality of fluids as a
  - 4 carrier fluid, and configured to maintain a first pressure and a first
  - 5 temperature;
  - 6 a throat region having a first and second end, connecting to said inlet nozzle at
  - 7 said first end, having a second diameter less than said first diameter, and
  - 8 configured to maintain a second pressure and second temperature; said throat
  - 9 region having at least one aperture adjacent to said first and second ends to
  - 10 allow for the introduction of at least one of said plurality of fluids to said
  - 11 carrier fluid; and,
  - 12 an exit nozzle, connect to said throat region at said second end, having a third
  - 13 diameter greater than said second diameter, and configured to maintain a third
  - 14 pressure and third temperature.
- 1 2. The apparatus of claim 1 wherein said inlet nozzle having said first diameter
- 2 tapers down to said throat region second diameter at an angle in the range of forty to
- 3 sixty degrees.
- 1 3. The apparatus of claim 1 wherein said throat region is configured to operate at
- 2 a critical Mach number of 1.0.
- 1 4. The apparatus of claim 1 wherein said second pressure and said second
- 2 temperature are selected to present a condition for atomization of said fluids.
- 1 5. The apparatus of claim 1 wherein some of said plurality of fluids are
- 2 precursors, and others of said plurality of fluids are dopants.

1       6.     The apparatus of claim 1 wherein said throat region further comprises two or  
2     more apertures adjacent to said first and second ends to allow for the introduction of  
3     two or more of said plurality of fluids to said carrier fluid, each of said two or more  
4     of said plurality of fluids introduced separately through individual apertures.

1       7.     The apparatus of claim 1 wherein said throat region is configured to maintain  
2     said first pressure to be greater than said third pressure.

1       8.     The apparatus of claim 1 wherein said second pressure is an elevated and  
2     constant pressure above vacuum conditions.

1       9.     The apparatus of claim 1 wherein said carrier fluid is delivered at a constant  
2     flow rate ensuring said second pressure being maintained constant through said throat  
3     region.

1       10.    The apparatus of claim 1 wherein said plurality of fluids are introduced  
2     separately and simultaneously without pre-mixing.

1       11.    The apparatus of claim 1 further comprising a heater for applying heat to said  
2     plurality of fluids exiting said exit nozzle.

1       12.    The apparatus of claim 1 wherein said exit nozzle at said third diameter tapers  
2     down to said throat region second diameter at an angle in the range of twenty to forty  
3     degrees.

1       13.    An apparatus for delivering a plurality of fluids to a chemical vapor deposition  
2     chamber, having a cavity comprising:

3       an inlet nozzle having a first diameter to receive one of said plurality of fluids as a  
4       carrier fluid, and configured to maintain a first pressure and a first  
5       temperature;  
6       a throat region having a first and second end, connecting to said inlet nozzle at  
7       said first end, having a second diameter less than said first diameter, and  
8       configured to maintain a second pressure and second temperature; said throat  
9       region having at least one aperture adjacent to said first and second ends to  
10      allow for the introduction of at least one of said plurality of fluids to said  
11      carrier fluid; and,  
12      an exit nozzle, connect to said throat region at said second end, having said second  
13      diameter, and configured to maintain said second pressure and said second  
14      temperature, such that said exit nozzle is an extension of said throat region having  
15      the same dimensions as said throat region.

1       14.   The apparatus of claim 13 wherein said inlet nozzle having said first diameter  
2       tapers down to said throat region second diameter at an angle in the range of forty to  
3       sixty degrees.

1       15.   The apparatus of claim 13 wherein said throat region is configured to operate  
2       at a critical Mach number of 1.0.

1       16.   The apparatus of claim 13 wherein said second pressure and said second  
2       temperature are selected to present a condition for atomization of said fluids.

1       17.   The apparatus of claim 13 wherein some of said plurality of fluids are  
2       precursors, and others of said plurality of fluids are dopants.

1       18.   The apparatus of claim 13 wherein said throat region further comprises two or  
2       more apertures adjacent to said first and second ends to allow for the introduction of

3       two or more of said plurality of fluids to said carrier fluid, each of said two or more  
4       of said plurality of fluids introduced separately through individual apertures.

1       19.   The apparatus of claim 13 wherein said second pressure is an elevated and  
2       constant pressure above vacuum conditions.

1       20.   The apparatus of claim 13 wherein said carrier fluid is delivered at a constant  
2       flow rate ensuring said second pressure being maintained constant through said throat  
3       region.

1       21.   The apparatus of claim 13 wherein said plurality of fluids are introduced  
2       separately and simultaneously without pre-mixing.

1       22.   A method for introducing a plurality of fluids into a chemical vapor deposition  
2       process chamber comprising:

3       injecting at least one of said plurality of fluids as a carrier fluid in line at a  
4       constant flow rate and under conditions of a first pressure and first  
5       temperature;

6       injecting others of said plurality of fluids separately, simultaneously, and without  
7       pre-mixing, as precursors and dopants in line with said carrier fluid and under  
8       conditions of a second pressure and a second temperature; and,

9       exiting said fluids to said chamber such that said fluids undergo atomization and  
10      vaporization.

1       23.   The method of claim 22 further comprising exiting said fluids to said chamber  
2       at a third pressure and third temperature.

**APPARATUS AND METHOD OF EFFECTIVE FLUID INJECTION AND  
VAPORIZATION FOR CHEMICAL VAPOR DEPOSITION APPLICATION**

**ABSTRACT OF THE DISCLOSURE**

5        A cross-flow injector is used to introduce fluids into a chemical vapor deposition process chamber separately and simultaneously for efficiently atomizing and vaporizing the fluids. The cross-flow injector consists of a three port cavity having an inlet nozzle, a throat region, and an exit nozzle. The injector cavity is defined by the tapering of the inlet and exit nozzles to the smaller diameter of the  
10      throat region. The tapering allows for pressure differentials at the inlet, throat, and exit regions, and assures the inlet pressure being much greater than the exit region. The throat region consists of additional apertures for injecting dopants and precursors within the fluid flow. The pressure differential at the throat region atomizes and vaporizes the injected dopant and precursor fluids. In a second embodiment, the  
15      injector cavity consists of two ports, having an inlet nozzle and a throat region. The inlet nozzle is tapered to meet the smaller diameter of the throat region, and the throat region extends to and becomes the exit nozzle portion so that the exit nozzle portion maintains the same diameter as the throat region.

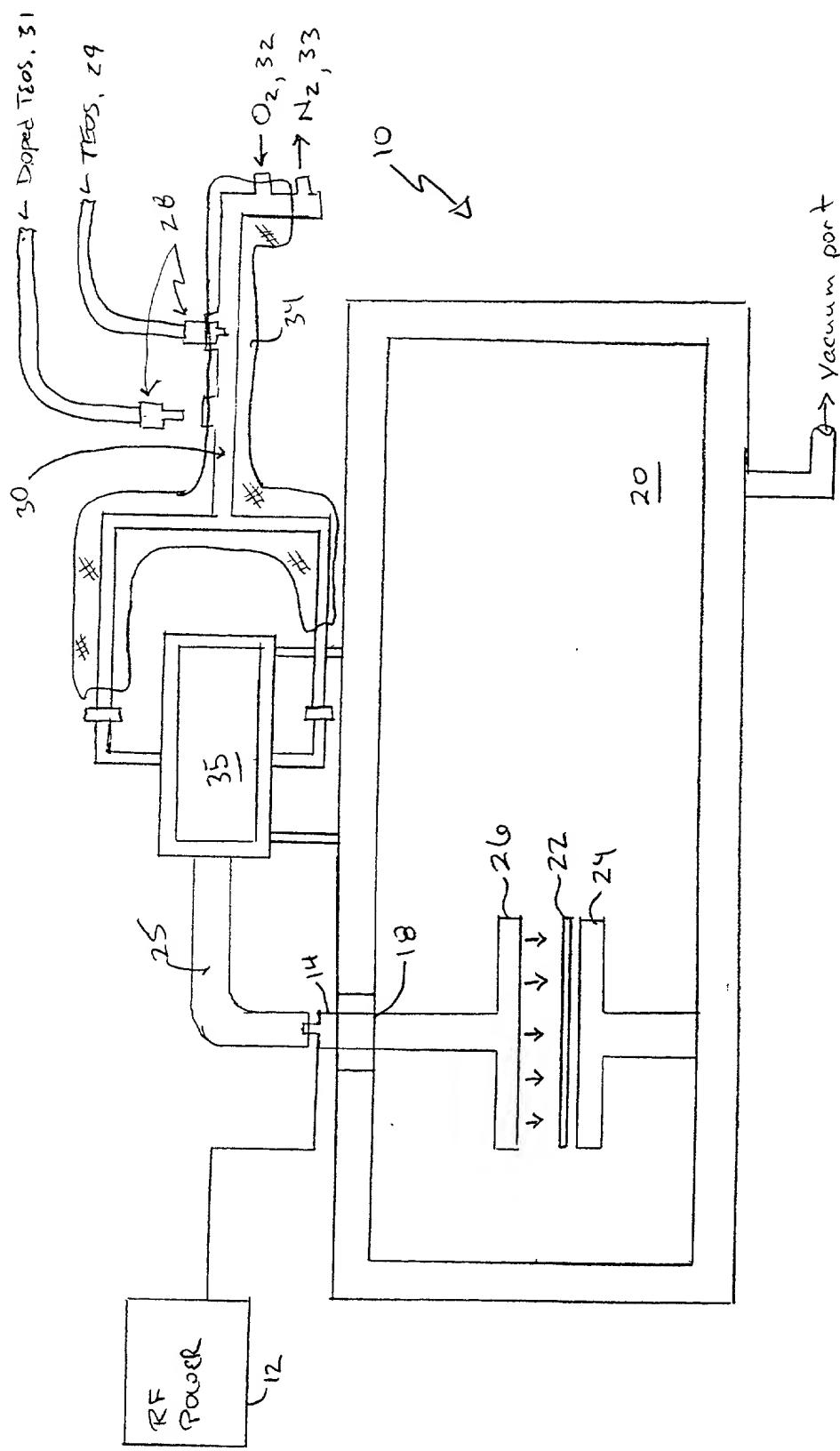


Fig. 1 (PR100R ART)

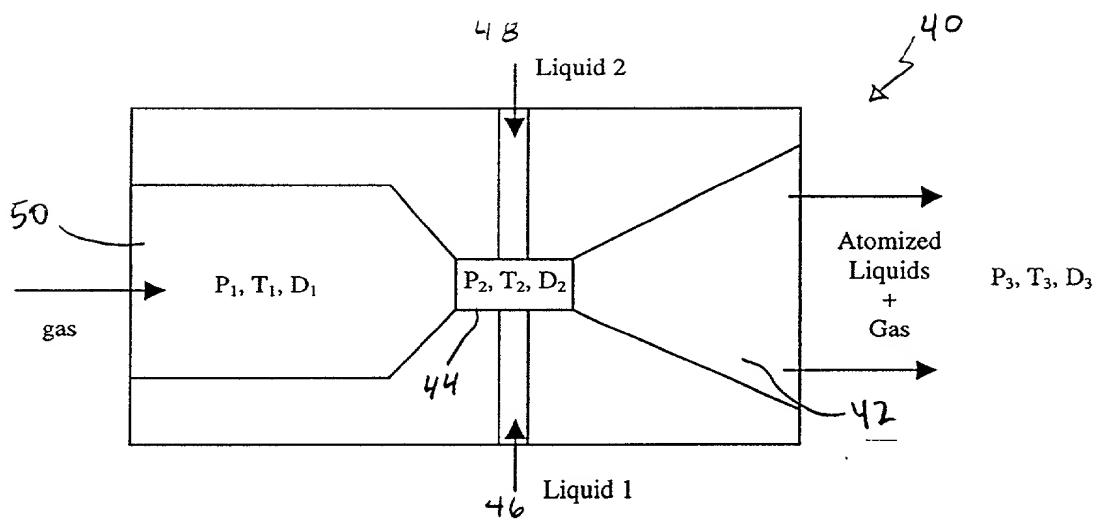


FIG. 2

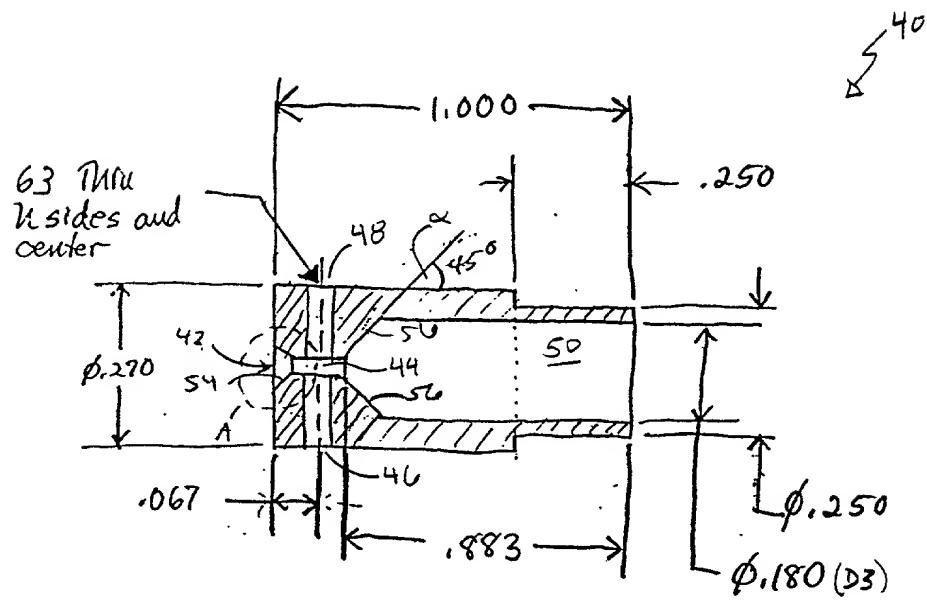


FIG. 3A

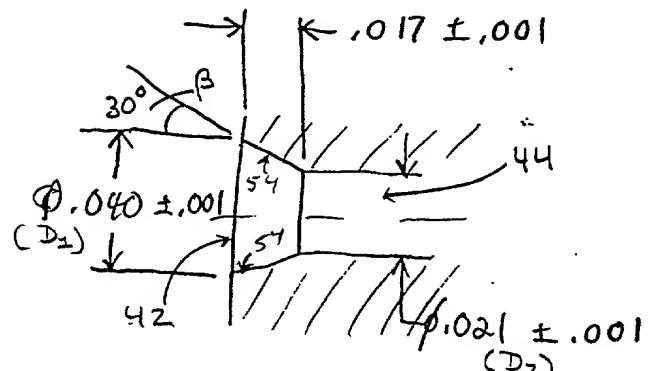


FIG. 3B

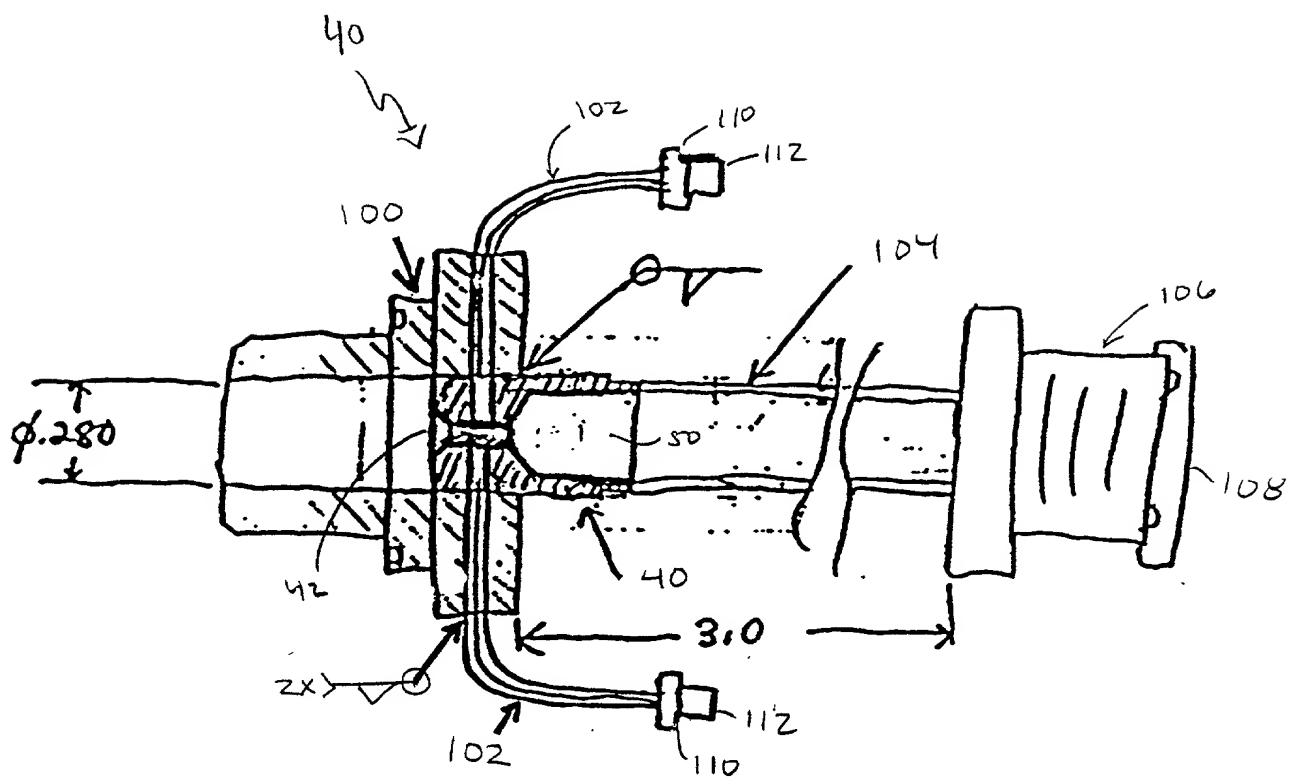


FIG. 4

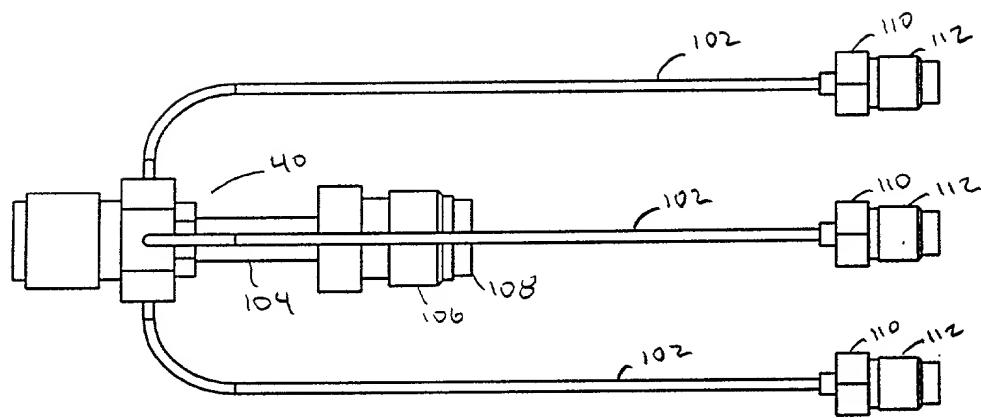


FIG. 5

## DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

### **APPARATUS AND METHOD OF EFFECTIVE FLUID INJECTION AND VAPORIZATION FOR CHEMICAL VAPOR DEPOSITION APPLICATION**

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the specification of which

(check one)

X is attached hereto

\_\_\_\_\_ was filed on \_\_\_\_\_ as

Application Serial No. \_\_\_\_\_

and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I do not know and do not believe that the invention was ever known or used in the United States of America before my or our invention thereof.

I do not know and do not believe that the invention was in public use or on sale in the United States of America more than one year prior to this application.

The invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Yes	Claimed No
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(Number)	(Country)	(Day/Month/Year)
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(Number)	(Country)	(Day/Month/Year)
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(Number)	(Country)	(Day/Month/Year)
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I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as best defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (granted, pending, abandoned)
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(Application Serial No.)	(Filing Date)	(Status) (granted, pending, abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: Anthony P. DeLio, Reg. No. 18,729; Peter W. Peterson, Reg. No. 31,867; John J. Tomaszewski, Reg. No. 26,241; Robert Curcio, Reg. No. 44,638; all of DELIO & PETERSON, LLC.

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